

Notice of Allowability

Application No.

09/978,488

Examiner

Hoang-Vu A. Nguyen-Ba

Applicant(s)

DATHATHRAYA, SRIDHAR

Art Unit

2192

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to Request for reconsideration filed 4/14/05.
2. ☒ The allowed claim(s) is/are 1,2,4-6,8-15 and 17.
3. ☐ The drawings filed on _____ are accepted by the Examiner.
4. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 - a) ☐ All b) ☐ Some* c) ☐ None of the:
 1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

* Certified copies not received: _____.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.
THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

5. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
 6. ☒ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
 - (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
 - 1) ☐ hereto or 2) ☐ to Paper No./Mail Date _____.
 - (b) ☒ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date 9/20/04.
- Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
7. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

1. ☐ Notice of References Cited (PTO-892)
2. ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3. ☒ Information Disclosure Statements (PTO-1449 or PTO/SB/08),
Paper No./Mail Date 10/16/01 & 3/15/04
4. ☐ Examiner's Comment Regarding Requirement for Deposit
of Biological Material
5. ☐ Notice of Informal Patent Application (PTO-152)
6. ☐ Interview Summary (PTO-413),
Paper No./Mail Date _____
7. ☒ Examiner's Amendment/Comment
8. ☒ Examiner's Statement of Reasons for Allowance
9. ☐ Other _____

Hoang-Vu A. Nguyen-Ba

**ANTONY NGUYEN-BA
PRIMARY EXAMINER**

DETAILED ACTION

1. This action is responsive to the Amendment and Request for Reconsideration under 37 CFR 1.116 filed April 14, 2005.
2. Per Applicant's request, claim 3 has been canceled; claims 1-2, 4, 9-10, 12 and 17 have been amended. Claims 1-2, 4-6, 8-15 and 17 remain pending.
3. Applicant's arguments, see Remarks, pp. 8-13, filed April 14, 2005 and the Declaration of Andrew Ferlitsch under 37 CFR 1.132, with respect to claims 1-2, 4-6, 8-15 and 17, have been fully considered and are persuasive. The rejections of these claims under 35 U.S.C. § 102(b) as being anticipated by Tokuda and under 35 U.S.C. § 103(a) as being unpatentable over Tokuda in view of Matsuo and further in view of SAMS have been withdrawn.
4. New corrected drawings in compliance with 37 CFR 1.121(d) are required in this application because this application has been filed with informal drawings, which are acceptable for examination purposes only. Applicant is advised to employ the services of a competent patent draftsman outside the Office, as the U.S. Patent and Trademark Office no longer prepares new drawings. The corrected drawings are required in reply to the Office action to avoid abandonment of the application. The requirement for corrected drawings will not be held in abeyance.

EXAMINER'S AMENDMENT

5. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it **MUST** be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with David Ripma, Reg. No. 27,672 on April 27, 2005.

The application has been amended as follows:

Claim 4: in line 3, replace "MFP" with – multifunctional peripheral (MFP) --;

Claim 8: in line 3, replace "an MFP" with – a multifunctional peripheral (MFP)

--.

EXAMINER'S STATEMENT OF REASON(S) FOR ALLOWANCE

6. Claims 1-2, 4-6, 8-15 and 17 are allowed.

7. The following is an examiner's statement of reasons for allowance:

Examiner agrees with Applicants that the prior art of record, i.e., Tokuda, Matsuo and SAMS, taken alone or in combination, fail to teach a system and method for managing workflow using a plurality of scripts.

Specifically, Tokuda teaches a method for managing workflow of electronic documents by, *inter alia*, sending these documents along different paths after a content analysis classification. However, Tokuda does not teach a method that directs a document along different workflow paths by assigning the document to a folder as required by independent claims 1 and 10.

Matsuo teaches MFP processing engines and job interrupt controller. However, Matsuo does not cure the deficiencies of Tokuda because Matsuo does not teach selection of job processing script folders as required by independent claims 1 and 10.

SAMS teaches how to write Javascript™ in 24 hours but does not cure the deficiencies of Tokuda in view of Matsuo.

Matsuo and SAMS fail to suggest an operable combination that implements job processing script folders.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably

accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

8. Any inquiry concerning this communication or earlier communications from the Examiner should be directed to Hoang-Vu A. Nguyen-Ba whose telephone number is (571) 272-3701. The Examiner can normally be reached on Tuesday-Friday, 7:15 – 17:45.

If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's Supervisor, Tuan Dam can be reached at (571) 272-3695.

The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Any inquiry of a general nature or relating to the status of this application should be directed to the **TC 2100 Group receptionist: 571-272-2100**.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



**ANTONY NGUYEN-BA
PRIMARY EXAMINER**

Art Unit 2192

April 27, 2005